

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

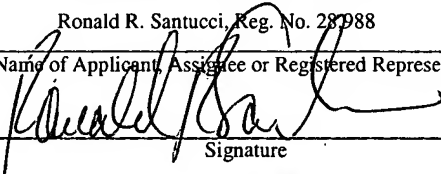
Applicant(s) : James Loyd, Dennis Creedon, Lewis H. Marten  
Serial No. 10/752,936  
For TRANSTRACHEAL OXYGEN STENT  
Filing Date January 7, 2004  
Examiner : Not Yet Assigned  
Group Art Unit : 3738

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 19, 2004.

Ronald R. Santucci, Reg. No. 28988

Name of Applicant, Assignee or Registered Representative

  
Signature

April 19, 2004

Date of Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(B)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

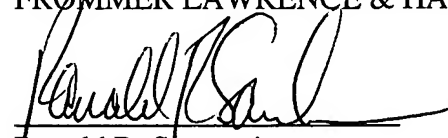
Dear Sir:

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last. No fee is required.

The Commissioner is authorized to charge any additional fee that may be required to  
Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

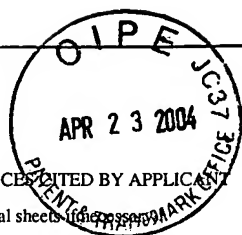
By:



Ronald R. Santucci  
Reg. No. 28,988  
(212) 588-0800-

Based on Form PTO-1449  
(3/90)

LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)



ATTY. DOCKET NO.

930027-2022

SERIAL NO.

10/752,936

APPLICANT

James Loyd et al.

FILING DATE

January 7, 2004

GROUP

3738

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,649,913	03/17/1987	Watson			
	AB	5,471,980	12/05/1995	Varner			
	AC	5,494,029	02/27/1996	Lane et al.			
	AD	5,529,062	06/25/1996	Byrd			
	AE	5,616,116	04/01/1997	Born			
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	AG	5,738,095	04/14/1998	Persson			
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	AJ	5,839,437	11/24/1998	Briggs, III			
	AK	5,840,091	11/24/1998	Strong			
	AL	5,957,978	09/28/1999	Blom			
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	AN	6,053,167	04/25/2000	Waldeck			
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	AQ	6,135,110	10/24/2000	Roy			
	AR	6,394,092	05/28/2002	Barrett et al.			
	AS	6,637,435	10/28/2003	Ciaglia et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AT							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU		
	AV		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.